TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY 'S DOCKET NUMBER

CWR 2 0269

U S APPLICATION NO (If known, see 37 CFR 1.5

09/91451 PRIORITY DATE CLAIMED

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

©CT/US00/06724	15 MARCH 2000	15 MARCH 1999			
TITLE OF INVENTION METAL SPONGES FOR RAPTI	D SURFACE CHEMICAL DEAC	TTONG.			
METAL SPONGES FOR RAPID SURFACE CHEMICAL REACTIONS APPLICANT(S) FOR DO/EO/US					
WELSCH, Gerhard et al.	D	4 6 9			
	ates Designated/Elected Office (DO/EO/US)	the following items and other information:			
1. This is a FIRST submission of items	s concerning a filing under 35 U.S.C. 371.				
2. This is a SECOND or SUBSEQUE	NT submission of items concerning a filing u	nder 35 U.S.C. 371.			
3. This is an express request to begin n items (5), (6), (9) and (21) indicated	ational examination procedures (35 U.S.C. 3' below.	71(f)). The submission must include			
<u> </u>	ration of 19 months from the priority date (A	rticle 31).			
5. A copy of the International Application	ion as filed (35 U.S.C. 371(c)(2)) If not communicated by the Internation	and Damana			
a. is attached hereto (required by b. has been communicated by		iai Bureau).			
<u> </u>	ication was filed in the United States Receivi	ng Office (RO/US)			
	ne International Application as filed (35 U.S.				
a. is attached hereto.					
b. has been previously submi	tted under 35 U.S.C. 154(d)(4).				
7. X Amendments to the claims of the Int	ernational Aplication under PCT Article 19 (35 U.S.C. 371(c)(3))			
🧦 a. 🗌 are attached hereto (requir	ed only if not communicated by the Internation	onal Bureau).			
b. have been communicated be	by the International Bureau.				
c. have not been made; howe	ver, the time limit for making such amendme	ents has NOT expired.			
d. 🕍 have not been made and w	ill not be made.				
8. An English language translation of the	ne amendments to the claims under PCT Artic	cle 19 (35 U.S.C. 371 (c)(3)).			
9. An oath or declaration of the invento	or(s) (35 U.S.C. 371(c)(4)).				
10. An English lanugage translation of the Article 36 (35 U.S.C. 371(c)(5)).	he annexes of the International Preliminary E	xamination Report under PCT			
Items 11 to 20 below concern documen	t(s) or information included:				
11. An Information Disclosure Statem	ent under 37 CFR 1.97 and 1.98.				
12. An assignment document for recor	ding. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.			
13. A FIRST preliminary amendment.					
14. A SECOND or SUBSEQUENT pa	4. A SECOND or SUBSEQUENT preliminary amendment.				
15. A substitute specification.					
16. A change of power of attorney and	l/or address letter.				
17. A computer-readable form of the s	equence listing in accordance with PCT Rule	13ter.2 and 35 U.S.C. 1.821 - 1.825.			
18. A second copy of the published int	ternational application under 35 U.S.C. 154(c	1)(4).			
19. A second copy of the English lang	uage translation of the international application	on under 35 U.S.C. 154(d)(4).			
20. Other items or information:					

U.S. APPLICATION NO (if know	577	P P	TERNATIONAL APPLICATION NO CT/US00/06724	l		ATTORNEY'S DOO	
21. The following	ng fees are	submitted:			CAL		PTO USE ONLY
BASIC NATIONAL	FEE (37 C	FR 1.492 (a)	(1) - (5)):				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00							
International prelim USPTO but Interna	inary exami	ination fee (3 ch Report pre	37 CFR 1.482) not paid to pared by the EPO or JPO	•			
International prelim but international sea	inary exami irch fee (37	ination fee (3 CFR 1.445(a	7 CFR 1.482) not paid to a)(2)) paid to USPTO	USPTO \$710.00			
but all claims did no	ot satisfy pro	ovisions of P	7 CFR 1.482) paid to US CT Article 33(1)-(4)	\$690.00			
and all claims satisf	ied provisio	ns of PCT A	7 CFR 1.482) paid to US rticle 33(1)-(4)	\$100.00	\$ 60	90.00	
	for furnish	ing the oath	or declaration later than	20 30	\$ 0.	70.00	
CLAIMS	NUMBER	FILED	NUMBER EXTRA	RATE	\$		<u> </u>
Total claims	27	- 20 =	7	x \$18.00		26.00	
Independent claims	4	-3 =	1	x \$80.00	, Li	80.00	
MULTIPLE DEPEND	ENT CLAI	M(S) (if app	licable)	+ \$270.00	\$	30.00	
		TOTAL O	F ABOVE CALCU	LATIONS =	\$ 20	06.00	
Applicant claims are reduced by 1	small entity /2.	status. See	37 CFR 1.27. The fees	indicated above +	L	18.00)	
D			SU	JBTOTAL =	\$ 44	18.00	
months from the earlie	0.00 for furnest claimed	nishing the E priority date			\$		
Fee for recording the	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be						
accompanied by an ap	propriate co	over sheet (3	7 CFR 3.28, 3.31). \$40.0	00 per property +	\$		
			TOTAL FEES E	NCLOSED =		8.00	
				:	Amou re	nt to be funded:	\$
			** · · · · · · · · · · · · · · · · · ·			charged:	\$
a. A check in the amount of \$ 448.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. XX The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 060308. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Richard J. Minnich, Esq.							
ray, Sharpe,	Fagan	, Minn	ich & McKee,	T.T.D		. Ninnic	_{th}
1100 Superio Cleveland, O	r aven	ue, /t	n Floor	NAME	<u> </u>	• 1ATIIITC	.11
	-∗ ~= * ± 1±	<u></u> 230.	1.	24,175	5		
				REGISTRA		IMBER	
				-======================================			

60/04	7-		JC 03.1	Rec'd PCT/TTO	1 4 SEP 20
U.S. APPLICATION NO AT KNOW	7 /	INTERNATIONAL APPLICATION NO PCT/US00/06724			CKET NUMBER 0269
21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				CALCULATIONS	
Neither international	l preliminary examinati	on fee (37 CFR 1.482) a)(2)) paid to USPTO d by the EPO or JPO	\$1000.00		
International prelimi USPTO but Internat	nary examination fee (i	37 CFR 1.482) not paid to epared by the EPO or JPC	\$860.00	<u> </u>	
International prelimi but international sear	nary examination fee (2 ch fee (37 CFR 1.445)	37 CFR 1.482) not paid to a)(2)) paid to USPTO	USPTO \$710.00		
International prelimi but all claims did no	nary examination fee (? t satisfy provisions of F	37 CFR 1.482) paid to US CT Article 33(1)-(4)	PTO \$690.00		
and all claims satisfic	ed provisions of PCT A	37 CFR 1.482) paid to US article 33(1)-(4)	\$100.00		T
ENTER	. APPROPRIATE	BASIC FEE AMO	UNT =	\$ 690.00	
Surcharge of \$130.00 months from the earlie	for furnishing the oath est claimed priority date	or declaration later than e (37 CFR 1.492(e)).	20 30	\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	27 -20 =	7	x \$18.00	\$ 126.00	4
Independent claims	<u>4 -3 =</u>		x \$80.00	\$ 80.00	
MULTIPLE DEPEND	ENT CLAIM(S) (if app		+ \$270.00	\$ 206.00	
Applicant claims are reduced by 1/	small entity status. See	DF ABOVE CALCU e 37 CFR 1.27. The fees	indicated above	\$(448.00)	
		CI	JBTOTAL =	\$ 448.00	
Processing fee of \$130 months from the earlie	0.00 for furnishing the est claimed priority date	\$			
TOTAL NATIONAL FEE = \$					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED = \$ 448.00					
				Amount to be refunded:	\$
			·· ·· ·· ··	charged:	\$
		48.00 to cover th			
	e my Deposit Account? copy of this sheet is end	No in closed.	the amount of \$	to cover the	e above fees.
c. XX The Commission overpayment	sioner is hereby author to Deposit Account N	ized to charge any addition 060308. A duplic	nal fees which may bate copy of this sheet	e required, or credit is enclosed.	any
lean-of-	•	d. WARNING: Information on this form. Provide		• •	
NOTE: Where an a		under 37 CFR 1.494 or to restore the application			ive (37 CFR
1.137 (a) or (b)) mus	IDENCE TO-				
1.137 (a) or (b)) mus SEND ALL CORRESPON		•	SIGNATU	IRE	
1.137 (a) or (b)) mus SEND ALL CORRESPON Richard J. M. Yay, Sharpe,	Minnich, Esq Fagan, Min	nich & McKee,	T.T.P		ich
1.137 (a) or (b)) mus SEND ALL CORRESPON Richard J. M. Pay, Sharpe, 100 Superio	Hinnich, Esq Fagan, Min or Avenue, 7	nich & McKee, th Floor	T.T.P	rd J. Minn:	ich
1.137 (a) or (b)) mus SEND ALL CORRESPON Richard J. M. Fay, Sharpe, 1100 Superio	Minnich, Esq Fagan, Min	nich & McKee, th Floor	LLP Richa	rd J. Minn	ich

JC03 Rec'd PCT/PTO 1 4 SEP 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Gerhard Welsch et al.

Serial No.

Unknown

Filed

Herewith

Title

METAL SPONGES FOR RAPID SURFACE

CHEMICAL REACTIONS

Attorney Docket

CWR 2 0269

Assistant Commissioner For Patents Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

Prior to substantive examination of the above-identified patent application, please amend the application as follows:

IN THE CLAIMS:

Please amend claims 4, 5, 6, 7, 8, 9, 10, 12, 14, 17 as follows:

- 4. The battery of claim 2, further characterized by the substrate and the electrically conductive sponge being formed from the same material.
- 5. The battery of claim 2, further characterized by the substrate including a metal selected from the group consisting of silver, copper, and aluminum.
- 6. The battery of claim 2, further characterized by the sponge metal being in the form of particles attached to the substrate.

CERTIFICATE OF MAILING

I hereby certify that this Preliminary Amendment is being deposited with the United States Postal Service as Express Mail No. (2052(4036)())), addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on September 14, 2001.

Pamela S. Stepka

wall first first and also first in the male of the first fir

- 7. The battery of claim 2, further characterized by the sponge material being in the form of a layer of sponge grown on the substrate.
- 8. The battery of claim 1, further characterized by the at least one of the anode and cathode comprising a plurality of thin layers of the electrically conductive sponge material.
- 9. The battery of claim 1, further characterized by the electrically conductive sponge material including an element selected from the group consisting of copper, silver, gold, aluminum, and combinations thereof.
- 10. The battery of claim 1, further characterized by the electrically conductive sponge material defining dendrites.
- 12. The battery of claim 10, further characterized by the at least one of the anode and cathode including at least one substrate layer and wherein the dendrites extend from the substrate.
- 14. The battery of claim 1, further characterized by the sponge materials being coated with an electrically conductive material.
- 17. The method of claim 15, further characterized by the step of growing the sponge including:

reducing a compound to its elemental form, the elemental form occupying a smaller volume than the compound such that an open porous structure is formed.

22. The method of claim 20, further characterized by the sponge material comprising an element selected from the group consisting of Mg, Al, Si, Zn, Ga, Ge, As, Se, Cd, In, Sn, Sb, Cv, Ag, Ti, Te, Tl, Pb, Bi, and alloys thereof.

REMARKS

It is respectfully submitted that the subject application is now in better condition for examination.

Respectfully submitted,

Richard J. Minnich Reg. No. 24,175

FAY, SHARPE, FAGAN, MINNICH & MCKEE, LLP 1100 Superior Avenue Seventh Floor Cleveland, Ohio 44114-2518 (216) 861-5582

VERSION WITH MARKINGS SHOWING CHANGES MADE

- 4. The battery of [either one of preceding claims 2 or 3] claim 2, further characterized by the substrate and the electrically conductive sponge being formed from the same material.
- 5. The battery of [either any one of preceding claims 2-4] claim 2, further characterized by the substrate including a metal selected from the group consisting of silver, copper, and aluminum.
- 6. The battery of [any one of preceding claims 2-5] <u>claim</u> 2, further characterized by the sponge metal being in the form of particles [(114, 164, 190, 192)] attached to the substrate.
- 7. The battery of [any one of preceding claims 2-5] claim 2, further characterized by the sponge material [(16,86,98,114,120)] being in the form of a layer [(122,204)] of sponge grown on the substrate.
- 8. The battery of [either any one of preceding claims 1-7] claim 1, further characterized by[:]

the at least one of the anode [(10,160,182,202)] and cathode [12,168,184,208)] comprising a plurality of thin layers [(166)] of the electrically conductive sponge material.

9. The battery of [any one of preceding claims 1-8] claim
1, further characterized by[:]

the electrically conductive sponge material including an element selected from the group consisting of copper, silver, gold, aluminum, and combinations thereof.

- 10. The battery of [any one of preceding claims 1-9] <u>claim</u>
 1, further characterized by the electrically conductive sponge material defining dendrites [(18,100)].
- 12. The battery of [either one of claims 10 and 11] <u>claim</u> 10, further characterized by the at least one of the anode and cathode including at least one substrate layer [(14,82,206)] and wherein the dendrites [18,100)] extend from the substrate.
- 14. The battery of [any one of claims 1-13] <u>claim 1</u>, further characterized by the sponge materials being coated with an electrically conductive material [(39)].
- 17. The method of [either one of claims 15 and 16] <u>claim</u>
 15, further characterized by[:]

the step of growing the sponge including:
reducing a compound [(122)] to its elemental form [(126)],
the elemental form occupying a smaller volume than the compound

such that an open porous structure is formed.

22. The method of [either one of claims 20 and 21] <u>claim</u>

20, further characterized by the sponge material comprising an element selected from the group consisting of Mg, Al, Si, Zn, Ga, Ge, As, Se, Cd, In, Sn, Sb, Cv, Ag, Ti, Te, Tl, Pb, Bi, and alloys thereof.

METAL SPONGES FOR RAPID SURFACE-CHEMICAL REACTIONS

Background of the Invention

The present invention relates to the surface chemistry arts. It finds particular application in conjunction with reaction surfaces formed from metal sponges having a high, readily accessible surface area for conducting chemical reactions thereon, and will be described with particular reference thereto. It should be appreciated, however, that the invention is also applicable to a variety of applications in which a high accessible surface area to volume ratio is beneficial.

Many chemical reactions involve surface reactions which take place on the surface of one or more of the reactants. Devices such as batteries, catalysts, gas sensors, fuel cells, and heat exchangers, for example all depend on chemical reactions taking place at a surface. For such reactions, the reaction rate is heavily dependent on the available surface at which the reactants can interact.

For optimum efficiency of such devices, it is desirable for the reactants to be supplied to the surface, and the reaction products drawn from the surface with little resistance. For example, a battery containing an anode, an electrolyte, and a cathode will benefit from an anode having a high, accessible surface area for an oxidation reaction to take place at a high rate. Similarly, the battery will benefit from a cathode of high accessible surface area for a rapid reduction reaction. This results in a high power output for the battery.

Conventionally, batteries are made from a number of interleaved plates which 20 provide the anode and cathode. The thickness of the plates is limited because, below a certain thickness, the plates cease to be rigid enough to maintain a desired spacing.

Metallic sponges provide an opportunity for increasing the surface area over conventional electrode materials. Sponges of titanium, such as those produced by the Hunter and Kroll processes, have relatively large surface areas. However, surface areas may not be maximized since a considerable portion of the surface is inaccessible, being fully enclosed by the sponge.

The present invention provides for a new and improved reaction surface formed from a sponge which overcomes the above-referenced problems and others.

5

Summary of the Invention

In accordance with one aspect of the present invention a battery comprising an anode, a cathode, and an electrolyte therebetween is provided. The battery is characterized by at least one of the anode and cathode comprising an electrically conductive sponge material.

In accordance with another aspect of the present invention, a method of conducting a reaction on a surface is provided. The method includes forming the surface, contacting the surface with a reactant, and allowing the reaction to take place. The method is characterized by the step of forming the surface including growing a sponge material having a plurality of open pores which are accessible to the reactant.

One advantage of the present invention is that reaction surfaces with large surface areas per unit mass are formed, thereby enabling the size of a device to be reduced.

Another advantage of the present invention is that it provides numerous, short flow paths for efficient transport of reactants and reaction products.

Still further advantages of the present invention will become apparent to those of ordinary skill in the art upon reading and understanding the following detailed description of the preferred embodiments.

Brief Description of the Drawings

The invention may take form in various components and arrangements of components and in various steps and arrangements of steps. The drawings are only for purposes of illustrating a preferred embodiment, and are not to be construed as limiting the invention.

FIGURE 1 is a side sectional view of a battery incorporating a directionallygrown sponge material according to a first embodiment of the present invention;

FIGURE 2 is a schematic view of the substrate and dendrites of FIGURE 1; FIGURE 3 is a schematic view of an alternative embodiment of the substrate

25

and dendrites of FIGURE 1;

FIGURE 4 is a perspective view of the substrate and dendrites of FIGURE 1;

FIGURE 5 is a schematic view of a system for chemically forming directionally grown sponges according to the present invention;

FIGURE 6 is a schematic view of the system of Figure 5 during the distillation phase;

FIGURES 7A, 7B, and 7C are side schematic views to show the progressive growth of a directional sponge by a solidification method;

FIGURES 8 and 9 are schematic views of a ribbon extrusion system to show the growth of a dendritic sponge by the solidification process and the extrusion of a ribbon;

FIGURE 10 is a side schematic view to show an alternative embodiment of a ribbon extrusion system;

FIGURES 11A, 11B, 11C, and 11D are schematic views of a metal substrate

15 A) prior to oxidation, B) after oxidation, C) after partial reduction, and D) after complete reduction of the oxide film;

FIGURE 12 is a side sectional view of a battery incorporating a nondirectional sponge material according to a second embodiment of the present invention;

FIGURE 12 is a side sectional view of a battery incorporating a non-20 directional sponge material according to a second embodiment of the present invention;

FIGURE 13 is a side sectional view of a battery incorporating a nondirectional sponge material according to a third embodiment of the present invention;

FIGURE 14 is a side sectional view of a battery incorporating a nondirectional sponge material according to a fourth embodiment of the present invention; and

FIGURE 15 is a side sectional view of a battery incorporating a non-directional sponge material according to a fifth embodiment of the present invention.

Detailed Description of the Preferred Embodiments

Reaction surfaces with a large internal surface area are produced from 30 metallic sponge. The sponges are formed by directional growth of a dendritic sponge on a suitable substrate or non-directional growth of a sponge. The sponges of the present invention have a large specific surface area (surface area per unit volume). For dendritic

sponges, that is sponges in which separate dendrites are attached to a common substrate or backbone, the specific surface area can be calculated approximately, according to the general formula:

5 Specific surface = Dendrite circumference x Volume of Sponge Material area

Dendrite cross sectional area

For example, a sponge with cylindrical dendrites of 100 nm in diameter has a specific surface area of 40 m²/cm³. By way of comparison, a solid of 1 cm³ has a specific surface area of about 0.0006 m²/cm³.

The rate of a chemical reaction which takes place on a surface follows the formula:

Rate of reaction = Reacted substance

Volume x Time

The finer the dendrites, the larger will be the surface area, and the faster the rate of reaction on the surface.

It is important, however, for the surface area to be easily accessible to the mobile reactants, such as an electrolyte or a reacting gas, and provide a route for transport of reaction products away from the surface. Accordingly, both the flow paths provided by inter-dendritic pores and the conduction paths through the dendrites themselves are preferably short in order to provide low resistance to such movement. The short flow paths are provided by:

- a) providing a sponge with a directional structure. The inter-dendritic pores are aligned for short flow paths, and the dendrites are also aligned for short conduction paths; or,
- b) providing a geometrical arrangement of a non-directional sponge in the form of thin layers which have numerous, short flow paths for access of electrolytes and/or reactants. For electrical applications, such as in batteries, the layers are preferably backed by a material with a high electrical conductivity.

Directional sponges and geometrical arrangements of thin layers of non-

directional sponges are particularly suited to providing a highly accessible surface for rapid transport of mobile reactants and products, ions in an electrolyte, or conduction of electrons.

Suitable materials for the sponge include aluminum, beryllium, carbon (graphite and other forms), cadmium, calcium, cesium, chromium, copper, germanium, gold, iron, lead, lithium, magnesium, manganese, nickel, niobium, platinum, potassium, sodium, silicon, silver, tantalum, titanium, vanadium, zinc, zirconium, or alloys of these metals. The choice of material will depend on the type of reaction for which the reaction substrate is to be used. For electrical applications, such as batteries, the sponge material is electrically conductive. For catalysts, the sponge material is preferably one which is capable of catalyzing the reaction.

The reaction surface can be formed in a number of ways, as will be discussed in detail below. It may be formed entirely from the sponge material, as in the case of an extruded dendritic sponge formed by a solidification method. Or the reaction surface may comprise a substrate material on which the sponge is either grown or attached by sintering, or other suitable method. The sintering method is particularly suited to forming reaction surfaces from particles of non-directional sponge, although it can also be used with directional sponges.

For some applications, the sponge may have its surface coated with a layer of a different material. For example, for catalytic uses, the sponge may be formed from an economical base material and coated with a thin layer of a catalyst material to provide a large surface area of the catalyst.

The reaction surfaces find application in a wide variety of devices, including catalysts, fuel cells, gas sensors, heat exchangers, and electrode materials for batteries. All of these applications benefit from having a large surface area and ease of access to and from the surface, derived from relatively short pathways or pores.

Reaction surfaces employing the two types of sponges, directional and non-directional, will now be described in greater detail. By way of example, the use of the reaction surface as an electrode in a battery will be shown, although it should be appreciated that both sponge types are suited to other applications, such as catalysts, fuel cells, and the like.

Reaction Surfaces Employing Directionally-Grown Sponges

Reaction surfaces employing directionally-grown, dendritic sponges have large accessible surface areas and short flow paths. The dendrites are preferably very fine, preferably having a width of about 30 micrometers or less, and more preferably of 1 micrometer or less, down to a few nanometers. The extreme fineness of the dendritic structure provides a high surface area (up to several hundred m²/cm³) on which the chemical reaction takes place. The inter-dendritic spaces or pores preferably have a pore size of 30 micrometers or less, more preferably of 300 nm or less.

The dendrites are directionally grown on a substrate. The substrate may be 10 in the form of a ribbon, wire, cast structure or sheet. Alternatively, the substrate may be extruded along with the dendrites. The substrate provides the sponge with structural stability and, where desired, electrical conduction. The substrate may be formed from the same material as the sponge, or a different material. Substrates of silver, copper or aluminum are preferred for applications requiring electrical conduction.

One preferred embodiment of the reaction surface comprising dendritic sponge is an anode or cathode material in a battery. With reference to FIGURE 1, a battery 1 includes an anode 10 and a cathode 12, which both utilize the reaction surface. Specifically, the anode and the cathode are formed from inter-digitated sheets of foil 14 having a thickness of about 10 μ m, or greater. The foil acts as a skeleton or substrate for a 20 dendritic sponge material 16. The sponge material includes numerous dendrites 18 which extend from the substrate surface.

An electrolyte 20 surrounds the anode and cathode. A casing 22 provides an outer cover for the battery. Optionally, the cathode acts as the casing. Conductor leads 30 and 32 connect the anode and the cathode, respectively with an electrical circuit (not shown) 25 in which the battery is used. While FIGURE 1 shows both the anode 10 and the cathode 12 as comprising a dendritic sponge, it should be appreciated that either the anode or the cathode could be formed without the dendritic sponge.

As long as the foil sheets are reasonably close together, preferably less than a few centimeters apart, the electrolyte will have a low enough resistance that the battery 30 will have an extremely high power density. The equivalent series resistance (ESR) of a battery (R) is obtained by the formula:

$$R = R_{AE} + R_{CE} + R_{E} + R_{A} + R_{C}$$

where:

 R_{AE} is the ESR of the chemical reaction between the anode and the electrolyte,

5

 R_{CE} is the ESR of the chemical reaction between the cathode and the electrolyte,

R_E is the resistance of the electrolyte,

R_A is the resistance of the anode, and

 R_C is the resistance of the cathode.

10

 R_{AE} , R_{CE} , and R_{E} are all dependent on surface area. R_{AE} is inversely proportional to the surface area of the anode/electrolyte interface, or the surface area of the anode. R_{CE} is inversely proportional to the cathode surface area.

$$R_{E} = \rho A_{p}$$

$$\frac{15}{t}$$

where:

 ρ is the resistivity of the electrolyte;

20

A_p is the projected surface area of the substrate; and,

t is the width of the electrolyte layer, i.e., the spacing between the

anode and the cathode.

The resistances of the anode and cathode are typically small and thus 25 negligible when compared with the other resistances.

FIGURES 2, 3, and 4 show various structures of the dendrites 18. In a preferred reaction surface 36, the dendrites are preferably perpendicular or near perpendicular to the substrate 14 to minimize electrical resistance. Between the dendrites are open pores 37, which provide access to reactants, such as electrically charged species, in the case of a battery, or reactants which are to undergo a catalyzed reaction on the surface, in the case of catalytic surfaces. The dendrites may in turn have smaller, or secondary dendrites 38 growing from the surfaces to increase further the surface area per unit substrate area, as shown in FIGURE 3. The directionally grown dendrites have a high length to width

ratio and are closely and relatively evenly spaced for maximizing the surface area. As shown in FIGURE 2, the dendrites may be coated with a thin layer of a surface material 39, which provides improved electrical conductivity. Or the surface material may provide a reaction surface 36 which acts as a catalyst in applications where the reaction surface catalyzes a reaction.

The directionally-grown dendrites provide a high surface to volume ratio and good surface accessibility. The accessibility is important for electrical properties and for migration of reactants and reaction products in that the conduction paths created are relatively short and tend to be in parallel. The accessibility also improves purification of the sponge, in that unwanted materials are readily removed from the interdendritic spaces. For electrical devices, the accessibility allows an electrolyte to infiltrate the sponge for complete filling of the sponge.

The reaction surface (36) is well suited to use in catalyzed reactions. Catalysts are substances which increase the speed of chemical reactions. The reactants are 15 usually in the form of gas or vapor or liquid. The present application pertains to such reactions in the presence of solid catalyst. It is beneficial for the acceleration of the chemical reaction that the catalyst has a high surface area and that this surface area is readily accessible to the reactants. These desired conditions are best met with a directional dendritic sponge, as shown in FIGURES 2-4, although non-directional sponges may also be used. The 20 sponge is either the catalyst itself or it is the carrier of a catalyst substance that is attached to the sponge surface. The desired conditions for acceleration of reactions are also met with a sponge that has readily accessible pores and especially with a thin layer of sponge that allows the reactants to flow through open ended pores with little resistance. The pores of directional or non-directional sponge layers preferably have relatively direct and open 25 passageways. The sponge layer may be on a substrate, such as wire, foil, ribbon or other provided shape, or it may be free-standing. Its pores are open-ended towards at least one side of the layer, or the pores are open ended through the thickness of the layer. Sponges made by the methods described herein generally have these desired properties. They are, therefore, especially well suited to serve as a catalyst or to serve as a carrier for a catalyst. Suitable 30 metals for catalyst sponge materials or surface catalyst layer 39 include: Li, Be, Mg, Al, Si, Ca, Sc, Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Zn, Ge, Sr, Y, Zr, Nb, Mo, Rh, Pd, Ag, Cd, In, Sn, Sb, Te, Ba, La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu, Hf, Ta, W, Rh, Os,

Ir, Pt, Au, Tl, Pb, Bi, Po, and combinations thereof.

Dendritic Sponge Formation

To form the directional sponge, the dendrites are directionally-grown on the substrate material. Several methods are available for forming the dendritic sponge. Three processes have been found to produce particularly uniform dendrites of large surface area. In the first process, a chemical method, a metal halide in vapor form is reduced by a reducing agent, preferably an alkali or earth alkali metal, such that the dendrites of the reduced metal are deposited onto a substrate material.

The metal halide is one which is gaseous at the reaction temperature. Several metal halides have been found to be suitable. These include chlorides, fluorides, and iodides of titanium, aluminum, tantalum, niobium, zirconium, vanadium, chromium, silicon, germanium, and mixtures of these. For example, in the case of a titanium sponge formed by the reduction of titanium chloride with magnesium, the deposition process can be described by the following equation:

$$TiCl_{1}(g) + 2Mg$$
 \rightarrow $Ti(s) + 2MgCl_{2}$
liquid or vapor liquid or solid

20

The reaction products are solid titanium and magnesium chloride, which may be liquid or solid, depending on the temperature used.

Because of the coexistence of the two reaction products, in this case titanium metal and magnesium chloride, the sponge morphology that develops consists of titanium dendrites with magnesium chloride residing in the inter-dendritic spaces. The magnesium chloride, or other alkali metal halide, is removed by draining and vacuum distillation, or by leaching. Vacuum distillation ensures that residual traces of magnesium chloride are removed so that they do not contaminate the sponge. Preferably, the distillation is carried out at between 800 and 1200°C at a high vacuum to ensure complete removal of the alkali metal halide.

Magnesium and sodium are particularly preferred reducing agents, with sodium being the most preferred because of the easier removal of sodium chloride. Additionally, using sodium as the reducing agent allows selection of the level of coarseness

15

20

or fineness of the sponge. Very fine sponge can be made at a relatively low temperature (600-700 °C).

Mixed sponges may also be formed by this process. For example, a Ti-Zr alloy sponge may be formed by the reduction of a mixture of TiCl₄ and ZrCl₄ with an alkali

(A) or earth alkali (EA) element. The sponge synthesis process proceeds by the reaction:

$$(TiCl_{4} + ZrCl_{4}) + (A \text{ or } EA) - (Ti+Zr) + (A \text{ or } EA)-Chloride$$

$$gas \text{ mixture} \qquad liquid \text{ or solid alloy} \qquad solid \text{ or liquid}$$

$$gas \qquad sponge \qquad salt$$

A specific example for forming a sponge of 50 atom % Ti and 50 atom % Zr alloy using potassium as the reducing element is:

$$(TiCl_{+} + ZrCl_{+})$$
 + $(8K)$ - $(Ti + Zr)$ + $(8KCl)$
gas mixture liquid or solid alloy solid or gas sponge liquid salt

FIGURES 5 and 6 illustrate the process of depositing the dendrites 18 onto the substrate 14. The process is described with particular reference to the formation of a titanium sponge by reduction of titanium chloride with sodium. It should be appreciated, however, that the process is also suited to the formation of other metal sponges with different reducing agents. The process conditions will vary, depending on the vapor pressure of the reducing metal, and other reaction variables.

A reaction vessel 40 for carrying out the sponge formation process defines a chamber 42. The substrate 14 is supported within the chamber 40 by electrically conducting connectors 44 and 46. A power supply 50 is connected across the connectors for heating the substrate to a suitable reaction temperature.

A reservoir **52** within the chamber contains sodium, or other reducing metal. to provide a vapor pressure of the reducing agent within the chamber. The vapor pressure generated is dependent on the temperature of the chamber. For example, at 520°C, the vapor pressure of sodium is 10⁻² atmospheres. A heating source **54** surrounds the chamber for heating the chamber to a suitable temperature for providing the vapor.

The heating source 54 is first energized and the chamber brought to the desired temperature for vaporizing the reducing agent. For a sodium reducing agent, a suitable chamber temperature is 705°C, although other temperatures can also be used. The power supply 50 is then energized to resistance heat the substrate 14 to a selected deposition temperature. Typically, the deposition temperature is higher than the temperature of the chamber, such that the deposition of titanium takes place primarily on the substrate. The heated substrate provides a limited number of nucleation sites for growth of dendrites.

Once the desired chamber and substrate temperatures have been achieved, a source of a halide of the sponge material 60, such as a source of titanium chloride, supplies titanium chloride to the chamber 42 through an inlet 62. An inlet valve 64 between the source 60 and the inlet 62 allows the rate of introduction of titanium chloride to be regulated. At the temperature and pressure of the chamber, the titanium chloride is in the form of a vapor which is reduced by the sodium at the surface of the heated substrate. A directionally outward-growing dendritic sponge of titanium dendrites with sodium chloride in the interdendritic spaces is formed. The exact microstructure of the sponge will depend on the number of the nucleation sites on the substrate and on the processing parameters, namely the vapor pressure of sodium, P_{Na} , the vapor pressure of titanium chloride, P_{TiCl4} , and the reaction temperature.

Chamber temperatures of 100 - 1,000 °C are suitable for the formation of a titanium sponge in this manner. A particularly preferred temperature is below 800 °C. The rate of growth and shape of the dendrites is dependent on the temperature. At lower temperatures, the dendrites are fine with large surface areas. However, the growth rate is relatively slow. At higher temperatures, the growth is much faster, but the dendrites are wider and thus have a smaller surface area to volume ratio. Thus the surface area of the sponge can be selected for the desired properties of reaction surface.

Once a suitable growth of sponge has been achieved, the unwanted reaction product, sodium chloride in this case, is removed by vacuum distillation, as shown in FIGURE 6. A vacuum pump valve or outlet valve 66 connects an outlet of the reaction vessel to a source of vacuum (not shown), such as a pump. The inlet valve 64 is closed to stop the supply of titanium chloride into the chamber 42 and the source of sodium 52 is preferably sealed or removed from the chamber to prevent unnecessary wastage of sodium. The vacuum pump valve 66 is opened and the temperature of the chamber adjusted to a

suitable distillation temperature. The vacuum pump draws the sodium chloride from the interstices in the sponge and out of the chamber. Because of the regular orientation of the dendrites, removal of the sodium chloride is readily achieved from essentially all the surfaces of the sponge. No enclosed pores are created which would trap the sodium chloride.

The time needed for distillation depends on temperature and on the length and width of the gas diffusion paths from the interdendritic regions. The vapor pressure of sodium chloride in atmospheres is given by the following equation:

$$log(P_{NaCl}) = -12,440 \text{ T}^{-1} - 0.90 log \text{ T} - 0.46 \times 10^{-3} \text{ T} + 11.43$$

where T is the temperature in degrees Kelvin. The vapor pressure of sodium chloride ranges from 4 x 10⁻⁵ to 1.9 x 10⁻² atmospheres in the 800 to 1,000 degree temperature range. Complete removal of the sodium chloride by vacuum distillation is thus readily achieved.

A second process for forming the dendritic sponge is a solidification method. In this method, the sponge is deposited from a mixed liquid formed by heating the material for the sponge with an insoluble substance to a temperature at which both the sponge material and the insoluble substance are liquid. Upon solidification, a heterogeneous solid is obtained. The method is particularly suited to the formation of aluminum sponges. Table 1 lists combinations of materials for forming the sponge. The insoluble substance is one which is insoluble in the sponge material selected. It may be an element, or a salt.

Table 1

Materials from which Fine Solidification Structures may be made for Reaction Surfaces

Sponge Material	Insoluble Substance Removed From Solidified Structure
Al, Be, Cd, Zn, Mg, Sn, Pb, Zn, Cu, Ag, Si, Ge, Sb, Tl, Bi, and rare earth elements with melting points below about 1200°C	Li, Na, K, Rb, or Cs
Alloys of Mg, Al, Si, Zn, Ga, Ge, As, Se, Cd, In, Sn, Sb, Tl, Pb, Bi	Li, Na, K, Rb, Cs
Ti,Ti-Be alloy	Ca, Sr, Ba, Li
Zr, Hf, V, Nb, Ta, Cr, Mn, Fe, Co, Ni, Au, Pt	Ca, Sr, Ba, Li
Ti, Zr, Hf, V, Nb, Ta, Cr, Mn, Fe, Co, Ni, Be, Au, Pt	alkali or earth alkali halides
Pb, Li, Sn, Cd, Ga, In, and alloys thereof	oil

The heated mixture of mutually insoluble materials is allowed to cool. It may be subjected to freezing temperatures to speed solidification. The mixture solidifies with a dendritic structure such that part of the solid consists of the sponge material and the interdendritic spaces consist of the insoluble material. The higher the cooling rate the finer will be the dendrite structure and the greater will be the sponge's surface area per unit substrate area. For example, a mixture of aluminum and potassium solidifies as an aluminum dendrite structure with the interdendritic spaces consisting of potassium. The potassium can be removed, for example, by vacuum distillation leaving an aluminum dendritic skeleton.

The sponge grown by the solidification process may be grown as directionally solidified dendrites on a provided substrate 82 as shown in FIGURES 7A, 7B, and 7C, or extruded as ribbon, as shown in FIGURES 8 and 9. The directionality of the solidification can be further enhanced by providing a temperature gradient, one in which the substrate is cooler than the growing dendrite.

In the embodiment of FIGURE 7, a cold metal substrate 82 is dipped into well-mixed molten mixture 84 of a liquid sponge material 86 and a liquid insoluble material 88. As seen in FIGURES 7B and C. dendrites of the sponge material 86 grow on the

substrate in a directional manner generally perpendicular to the substrate surface. The insoluble material 88 is rejected into the interdendritic space.

In the embodiments of FIGURES 8, 9, and 10, the molten mixture of sponge material and insoluble material is brought into contact with a rotating heat sink. As shown in FIGURE 8, the mixture 90, heated by a heating coil 92, is allowed to flow through a narrow aperture 94 onto a rotating cylindrical heat sink 96, such as a chilled roller, positioned below the mixture. As the heat sink rotates, a ribbon 98 of solidified sponge material forms. As shown in the enlarged section of FIGURE 9, the dendrites 100 in the ribbon grow away from the side closest to the heat sink, with a continuous layer 102 of the sponge material closest to the heat sink. The layer 102 acts as the substrate for supporting the dendrites. As before, the insoluble material 104 is removed from the interdendritic spaces by vacuum distillation.

In the embodiment of FIGURE 10, a rotating cylindrical heat sink 108 contacts a bath 110 of the mixture 112 of sponge material 114 and insoluble material 116.

Optionally, a stirrer 118 in the bath keeps the sponge material and insoluble material well mixed. As the heat sink rotates, a layer of the mixture adjacent the sink cools and a ribbon 120 forms. As with the embodiment of FIGURES 8 and 9, the dendrites of the sponge material 114 grow away from the surface of the heat sink and the insoluble material concentrates in the interdendritic spaces.

For the solidification process, the material for the sponge preferably has a melting point which is below about 1,700°C, and more preferably below 1200°C, for ease of processing. Optionally, the sponge material may be an alloy of one or more of the following elements: Mg, Al, Si, Zn, Ga, Ge, Be, Li, Ni, Au, Pt, Cu, Ag, As, Se, Cd, In, Sn, Sb, Tl, Pb, Bi, and rare earth elements.

A third process for forming the dendritic sponge is an oxidation/reduction method. In a first step, an oxide scale is grown on a suitable substrate. With reference to FIGURES 11A, 11B, 11C, and 11D, the substrate 120 may be in the form of a sheet, strip, wire, mesh, or fabricated skeleton of a metal, such as Ti, Ta, or alloys of Ti-Ta, Ti-Zr, or Ti-Be. The thickness of the scale 122 is preferably between 0.5 micrometers and 10 millimeters. The metal is one which has an oxide with a Pilling-Bedworth ratio of greater than 1. When this is the case, the molecular volume of oxide scale 122 produced is greater

than the volume of the metal 124 consumed (compare FIGURES 11B and 11A). For tantalum, for example, which has a Pilling-Bedworth ratio of 2.5, the oxide layer is at least 2.5 times the thickness of the metal consumed. The scale may be grown to an extent at which either all or just a portion of the substrate is converted into oxide scale. The thickness of the scale is preferably 1 to 10⁴ times the desired pore size of the metal sponge to be formed.

In a second step, shown in FIGURES 11C and 11D, the oxide scale is reduced at high temperature to the metal 126 with a suitable reducing agent 128. For oxides of the metals Ti, Ta, Zr, Be, or their alloys, reducing agents, such as Li, Mg, Ca, Ba, Sr, and hydrogen are effective. The reducing agent 128 is preferably in the form of a gas or liquid. For example, tantalum oxide scale may be reduced to tantalum sponge in the presence of hydrogen as follows:

$$Ta_2O_5 + 5H_2 \rightarrow 2 Ta + 5H_2O$$
Oxide reducing sponge oxide of scale agent layer reducing agent

Similarly, a titanium oxide scale may be reduced to a titanium sponge with calcium as follows:

$$TiO_2$$
 + 2 Ca (liq or vap) \rightarrow Ti + 2 CaO
Oxide reducing sponge oxide of
scale agent layer reducing agent

Since the reduced metal has a smaller volume than that of the oxide scale, the reduced metal is porous. Accordingly, during the reduction reaction, the oxide layer is transformed into a metal sponge with generally directional pores 130, shown in FIGURES 11C and 11D, extending from the outside of the reduced metal towards the substrate/reduced metal interface. The pores contain the oxide of the reducing agent, for example, water vapor in the case of hydrogen and calcium oxide in the case of calcium. The oxide may be leached out. for example, with water or an acid, such as acetic acid. In the case of water as the oxide, the water is preferably carried off as vapor. The remaining metal sponge has many of the properties of the outwardly grown sponges prepared by the first and second methods. The sponge has a high surface area with an easily accessible open porosity.

Where only part of the substrate is turned into the oxide scale and then into a metal sponge, the remaining substrate provides a path of high electrical conductivity. The substrate thus feeds or receives electrical current to or from the dendrites. A path of high electrical conductivity is important for batteries, and other electrical applications.

5

Reaction Surfaces Employing Non-Directionally-Grown Sponges

Non-directionally grown sponges are sponges in which the pores are oriented in random directions or may have directional character within a given grain. As with the directional sponges, the pore widths are chosen depending on the application, for example, the power density desired or the reaction products formed. Preferably, the pores consist of interconnected channels having a width of from about 10 nm to 100 μ m, or more.

Several methods exist for forming the reaction surface. In a first method, a thin layer of particles of the sponge is bonded to a substrate, such as a metal strip. The layer of sponge particles is preferably less than 2 cm in thickness and may be as thin as 1 μ m, or less. In a second method, a non-directional sponge is grown on a substrate.

In one preferred embodiment, the reaction surface is used as a battery electrode. FIGURE 12 shows a battery 138 in which an anode 140 (the reaction surface) comprises a metal strip 142 to which a surface layer of electrically conductive metal sponge particles 144 is attached by sinter-boding, or other suitable method. The battery also includes a cathode 146, which forms the outer casing of the battery, and an electrolyte 148 which penetrates the pores of the sponge particles to contact the large surface provided by the sponge for electrochemical reactions. A bead 150 of an insulation material separates a terminal 152 for the anode from the adjacent cathode.

Obviously, both the anode and the cathode may be formed from particles of the sponge, or just one of the electrodes. When both are formed from the sponge particles, the sponge particles may be formed from the same material or different materials.

FIGURES 13-14 show different embodiments of a battery, in which the electrodes include interdigitated metal strips. In the embodiment of FIGURE 13, a battery 158 includes an anode 160 having a series of conductive metal strips 162. Sponge particles 30 164 are sintered on to upper and lower surfaces of the strips in the form of a thin layer 166 of the particles. A cathode 168 comprises metal strips 170 without sponge particles.

In the embodiment of FIGURE 14, a battery 180 is formed in which both

anode 182 and cathode 184 include metal strips 186, 188 with sintered sponge particles 190,192, respectively. The anode and cathode sponge particles are preferably formed from different materials.

FIGURE 15 shows a battery **200** in which the anode **202** includes a surface layer **204** of non-directional sponge which has been formed on a substrate material **206** by a scale growth and reduction method. The cathode **208** may be similarly formed or comprise metal strips **210**, as shown in FIGURE 15.

Other combinations for the anode and cathode are also contemplated. For example, one of the anode and cathode may be formed from a non-directional sponge, while the other is formed from a directional sponge. The electrodes may be formed from sponges having different porosities, or formed from different materials. The sponges may be formed by the same or different processes.

Formation of Non-Directional Sponges

As for directional sponges, a number of methods are available for forming the non-directional sponges. In a first method, chemical vapor deposition is used, as described for the directional sponges, but with some modifications. Specifically, a metal halide, in vapor form, is reduced by a reducing agent, preferably an alkali or earth alkali metal. A sponge of the reduced metal grows non-directionally in the reaction chamber. This is achieved by eliminating the substrate material altogether, or by depositing the sponge onto a substrate material without controlling the direction of growth of dendrites. As with the directionally-grown sponges, the unwanted reaction product, such as sodium chloride, is leached out by vacuum distillation, as shown in FIGURE 6, or by other suitable methods.

In a second method, the sponge is grown by a solidification method, in a manner similar to that described for the directionally-grown sponges. In this case, however, the sponge made by the solidification process is grown as a random sponge where guidance is absent during the solidification process. The two insoluble materials are allowed to cool in the absence of a substrate, so that a non-directional sponge forms with the unwanted reaction product in the pores of the sponge. The unwanted reaction product is then removed as for the directional sponge. The directionally solidified sponge is preferred over the non-directional sponge, however, since the insoluble interdendritic material is readily removed, there are few inaccessible areas, infiltration of the electrolyte or reactants is easier, and the internal resistance is lowered.

In a third method, sponge particles are formed by reduction of particles of an oxide to the sponge material, or by reduction of a layer of oxide grains. This is similar to the scale reduction method shown in FIGURE 15. However, the grains may consist of loosely bonded or sintered oxide particles. For example, a substrate, such as iron, nickel, or tin, is coated with a slurry formed from a binder material and oxide particles, such as iron oxide, nickel oxide, or titanium oxide. The coated substrate is heated to remove the binder material. Then the surface is reduced with a suitable reducing agent, such as H₂, CO, or Ca. A layer of metal sponge particles (non-directional) is thereby sintered or loosely bonded to the surface.

The non-directional sponge particles are then adhered to a suitable substrate material by sintering, or other suitable adhering technique.

Having thus described the preferred embodiment, the invention is now claimed to be:

A battery (1, 138, 158, 180, 200) comprising an anode (10, 140, 160, 182, 202), a cathode (12, 146, 168, 184, 208), and an electrolyte (20, 148) therebetween, the battery characterized by:

at least one of the anode (10, 140, 160, 182, 202) and cathode (12, 184, 208) comprising an electrically conductive sponge material (16, 114, 164, 204).

2. The battery of claim 1, further characterized by:

the at least one of the anode and cathode comprising an electrically conductive substrate (14, 82, 102, 120, 142, 162, 186, 188, 206) which is in electrical contact with the electrically conductive sponge material.

- 3. The battery of claim 2, further characterized by the substrate being in the form of a foil (14), wire, ribbon (98, 102, 120), cast structure, or sheet (14, 82).
- 4. The battery of either one of preceding claims 2 and 3, further characterized by the substrate and the electrically conductive sponge being formed from the same material.
- 5. The battery of either any one of preceding claims 2 -4, further characterized by the substrate including a metal selected from the group consisting of silver, copper, and aluminum.
- 6. The battery of any one of preceding claims 2-5, further characterized by the sponge material being in the form of particles (144, 164, 190, 192) attached to the substrate.
- 7. The battery of any one of preceding claims 2-5, further characterized by the sponge material (16, 86, 98, 114, 120) being in the form of a layer (122, 204) of sponge grown on the substrate.
- 8. The battery of either any one of preceding claims 1-7, further characterized by:

the at least one of the anode (10, 160, 182, 202) and cathode (12, 168, 184, 208) comprising a plurality of thin layers (166) of the electrically conductive sponge material.

- 9. The battery of any one of preceding claims 1-8, further characterized by: the electrically conductive sponge material including an element selected from the group consisting of copper, silver, gold, aluminum, and combinations thereof.
- 10. The battery of any one of preceding claims 1-9, further characterized by the electrically conductive sponge material defining dendrites (18, 100).
- 11. The battery of claim 10, further characterized by the dendrites having a width of from about 200 nanometers to 30 micrometers.
- 12. The battery of either one of claims 10 and 11, further characterized by the at least one of the anode and cathode including at least one substrate layer (14, 82, 206) and wherein the dendrites (18, 100) extend from the substrate.
- 13. The battery of claim 12, further characterized by the dendrites (18) extending generally perpendicularly from the substrate layer (14).
- 14. The battery of any one of claims 1-13, further characterized by the sponge material being coated with an electrically conductive material (39).
- 15. A method of conducting a reaction on a surface, the method comprising forming the surface (36), contacting the surface with a reactant, and allowing the reaction to take place, the method characterized by:

the step of forming the surface including:

- growing a sponge material (16, 114, 164, 204) having a plurality of open pores (37, 130) which are accessible to the reactant.
- 16. The method of claim 15, further characterized by the sponge material including one of the group consisting of Li, Be, Mg, Al, Si, Ca, Sc, Ti, V, Cr, Mn, Fe, Co,

Ni, Cu, Zn, Ge, Sr, Y, Zr, Nb, Mo, Rh, Pd, Ag, Cd, In, Sn, Sb, Te, Ba, La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm, Yb, Lu, Hf, Ta, W, Rh, Os, Ir, Pt, Au, Tl, Pb, Bi, Po, and combinations thereof.

17. The method of either one of claims 15 and 16, further characterized by: the step of growing the sponge including:

reducing a compound (122) to its elemental form (126), the elemental form occupying a smaller volume than the compound such that an open porous structure is formed.

18. The method of claim 17. further characterized by the step of growing the sponge including:

heating a substrate (14) to a deposition temperature;

contacting the substrate with a vapor which includes a halide of the sponge material in the presence of an alkali or earth alkali metal vapor, the sponge halide vapor reacting with the alkali or earth alkali metal vapor to form the sponge material and an alkali or earth alkali metal halide, the sponge material being deposited on the substrate in the form of dendrites (18).

- 19. The method of claim 18, further characterized by: removing the alkali or earth alkali metal halide from the sponge material by vacuum distillation.
- 20. The method of claim 15, further characterized by the step of growing the sponge including:

heating the sponge material (86, 114) with an insoluble material (88, 116) to a temperature at which the sponge material and the insoluble material are both liquids, the sponge material and the insoluble material being mutually insoluble at a temperature at which the sponge material freezes;

mixing the two liquids;

cooling the sponge material and the insoluble material to form the sponge; and removing the insoluble material from the sponge.

21. The method of claim 20, further characterized by the step of cooling including:

cooling the sponge material (86) and the insoluble material (88) adjacent a substrate (82) to form a directionally grown sponge of the sponge material on the substrate.

- 22. The method of either one of claims 20 and 21, further characterized by the sponge material comprising an element selected from the group consisting of Mg, Al, Si, Zn, Ga, Ge, As, Se, Cd, In, Sn, Sb, Cv, Ag, Ti, Te, Tl, Pb, Bi, and alloys thereof.
- 22. The method of claim 21, further characterized by the insoluble substance being selected from the group consisting of Na, K, Rb, Cs, Ca, Sr, Ba, and salts thereof.
- 23. The method of claim 17, further characterized by the step of growing the sponge material including:

forming an oxide scale (122) on a substrate (120), the substrate including a metal which is oxidizable to an oxide having a lower density than the substrate, by oxidizing at least an outer portion (124) of the substrate to form the oxide scale; and

reducing the oxide scale to the metal, the metal having an open porous structure.

24. The method of claim 23, further characterized by the step of reducing including:

reducing the oxide with a reducing agent (128); and the method further including after the step of reducing: removing an oxide of the reducing agent from the porous metal.

25. The method of claim 24, wherein the oxide of the reducing agent is a fluid.

- 26. A metal sponge with high specific surface area that is accessible to reactants with reaction paths that are no larger than two times the sponge thickness.
- 27. A metal sponge with a geometry of open porosity between dendrites that enables through-flow of gas or liquid with an electrical resistance that is at least two times less than that of an ordinary sintered powder sponge.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7: H01M 4/64, 4/70, 4/30, C01B 6/02, C25C 5/02

(11) International Publication Number:

WO 00/55931

A1

(43) International Publication Date: 21 September 2000 (21.09.00)

(21) International Application Number:

PCT/US00/06724

(22) International Filing Date:

15 March 2000 (15.03.00)

(30) Priority Data:

60/124,443

15 March 1999 (15.03.99)

US

- (71) Applicant (for all designated States except US): CASE WEST-ERN RESERVE UNIVERSITY [US/US]; 10900 Euclid Avenue, Cleveland, OH 44106 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): WELSCH, Gerhard [US/US]; 2514 Edgehill Road, Cleveland Heights, OH 44106 (US), McGERVEY, Donald [US/US]; 1819 Wilton Road, Cleveland Heights, OH 44118 (US). SZOZDOWSKI, Paul [US/US]; 21600 Miller Avenue, Euclid, OH 44119 (US).
- (74) Agent: MINNICH, Richard, J.; Fay, Sharpe, Fagan, Minnich & McKee, LLP, 1100 Superior Avenue, Seventh Floor, Cleveland, OH 44114-2518 (US).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

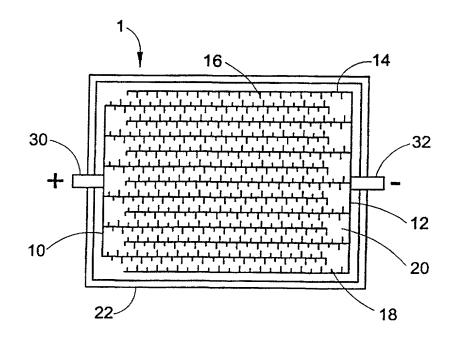
Published

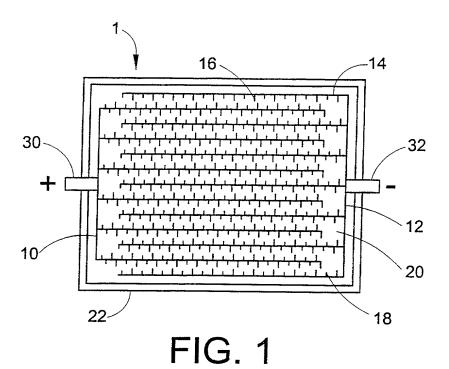
With international search report.

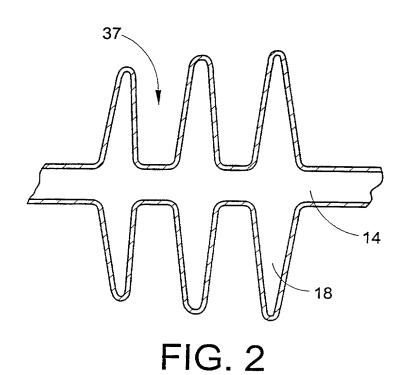
(54) Title: METAL SPONGES FOR RAPID SURFACE-CHEMICAL REACTIONS

(57) Abstract

Surfaces suited performing chemical reactions are provided by thereon sponge material (16)having fine dendrites (18). The sponge material may be formed by growing the dendrites on a substrate (14) or particles of the sponge material may be sintered onto a substrate. The sponge material is suitable for use as an anode (10) or a cathode (12) in a battery (1). sponge material may coated with another material, which acts as a catalyst, or which improves the electrical conductivity of the sponge.







PCT/US00/06724

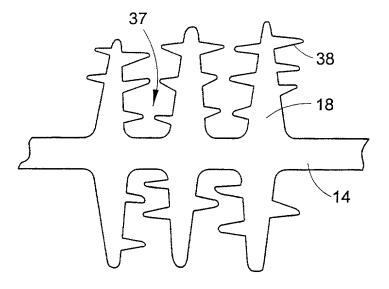


FIG. 3

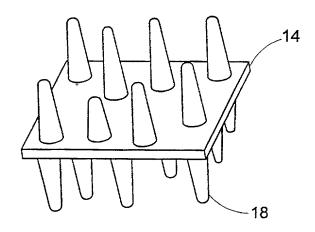
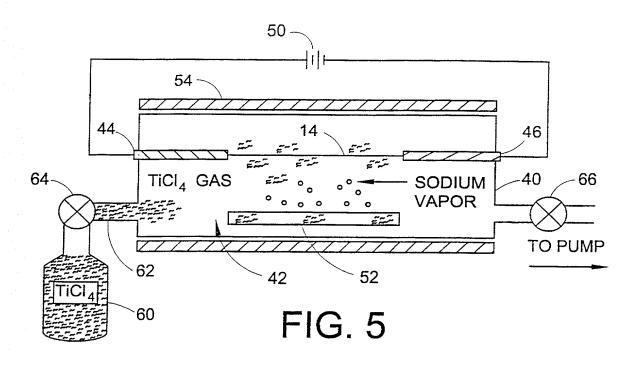
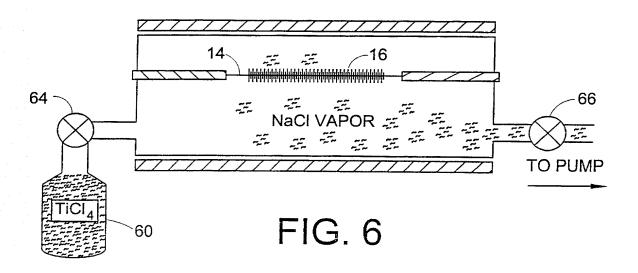
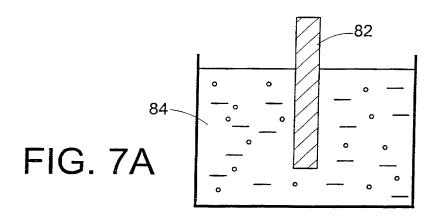
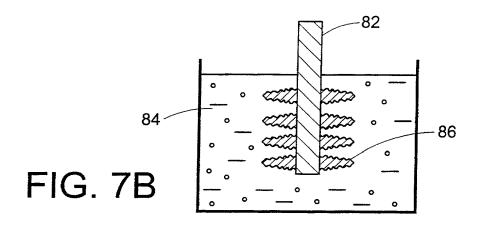


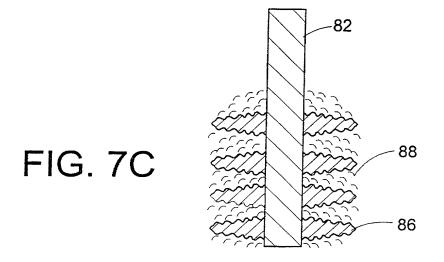
FIG. 4



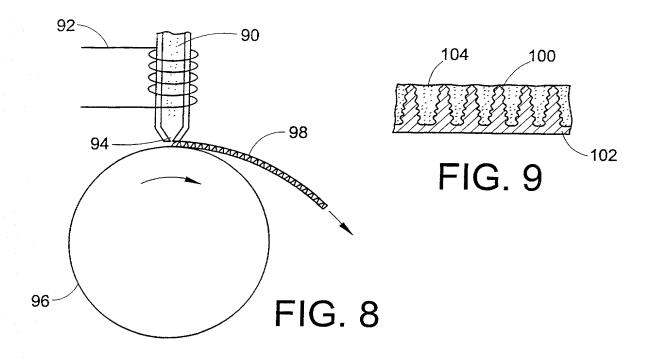








SUBSTITUTE SHEET (RULE 26)



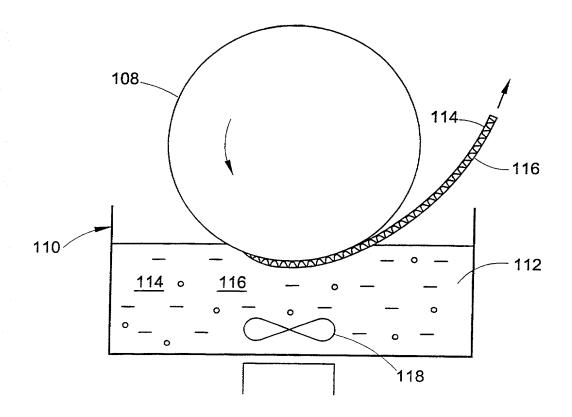


FIG. 10

SUBSTITUTE SHEET (RULE 26)

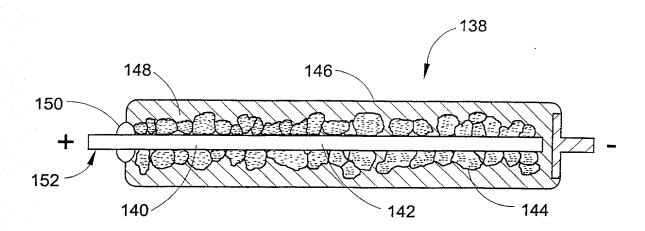


FIG. 12

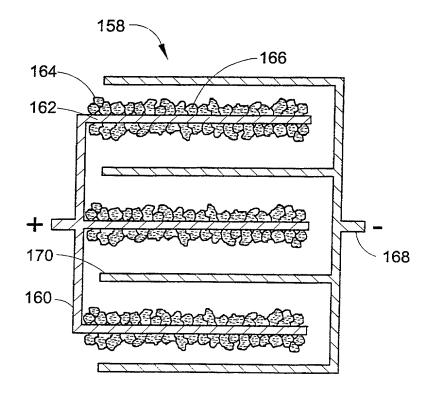


FIG. 13

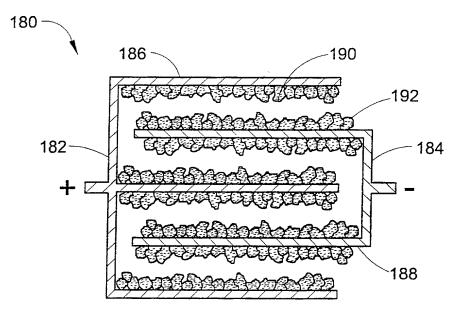


FIG. 14

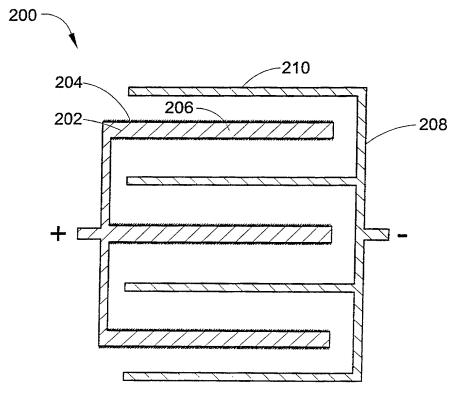


FIG. 15



DECLARATION AND POWER OF ATTORNEY FOR UTILITY PATENT APPLICATION

(37 CFR 1.63)

As a below inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METAL SPONGES FOR RAPID SURFACE-CHEMICAL REACTIONS

the s	pecification of which
	is attached hereto
or	,
\boxtimes	was filed on September 13, 2001, as United States Application Number 09/914,517.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent or inventor's certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent or inventor's certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application Number(s)	Country	Foreign Filing Date	Priority Not Claimed	Certified Attach YES	
PCT/US00/06724 .	WIPO	March 15, 2000			

I hereby claim the benefit under 35 U.S.C. 119(e) of an United States provisional application(s) listed below.

Application Number(s)	Day/Month/Year Filed	Additional Provisional Application Numbers Listed on Supplemental Priority Data Sheet Attached	
60/124,443	March 15, 1999	N/A	

I hereby claim the benefit under Title 35, United States, § 120 of any United States application(s) or any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International

application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information which is material to patentability as defined in Title 37, of Federal Regulations Code, § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Parent Application <i>or</i>	Parent Filing Date	Parent Patent Number
PCT Parent Number	Day/Month/Year Filed	(<i>if applicable</i>)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Mark E. Bandy, Brian G. Bembenick, John P. Cornely, David B. Cupar, Joseph D. Dreher, Matthew P. Dugan, Christopher B. Fagan, Patrick D. Floyd, Jude A. Fry, Steven M. Haas, Michael E. Hudzinski, Edward T. Kennedy, Richard M. Klein, Thomas E. Kocovsky, Jr.	Reg. No. 35.788 Reg. No. 41.463 Reg. No. 47.510 Reg. No. 37.123 Reg. No. 44.663 Reg. No. 22,987 Reg. No. 38,340 Reg. No. 37,841 Reg. No. 34,185 Reg. No. 34,185 Reg. No. 33,000 Reg. No. 33,000 Reg. No. 22,383	Scott A. McCollister, James W. McKee, Richard J. Minnich, Jay F. Moldovanyi, Philip J. Moy, Timothy E Nauman, Erik J. Overberger, Scott C. Rand, Patrick R. Roche, James E. Scarbrough, Ann M. Skerry, Mark S. Svat, Anuj K. Wadhwa, Joseph E. Waters,	Reg No. 33,961 Reg. No. 26,482 Reg. No. 24,175 Reg. No. 29,678 Reg. No. 31,280 Reg. No. 32,283 Reg. No. 48,556 Reg. No. 40,359 Reg. No. 47,056 Reg. No. 45,655 Reg. No. 45,655 Reg. No. P50,407 Red. No. P50,427
Thomas E. Kocovsky, Jr. Sandra M. Koenig,	Reg. No. 28,383	Joseph E. Waters,	Reg. No. P50,427
	Reg. No. 33,722	Jason A. Worgull,	Reg. No. 48,044

DIRECT ALL CORRESPONDENCE TO:

Richard J. Minnich, Esq. Fay, Sharpe, Fagan, Minnich & McKee, LLP 1100 Superior Avenue, 7th Floor

Çleveland, OH 44114-2518

DIRECT TELEPHONE CALLS TO:

Richard J. Minnich

Telephone: 216/861-5582 Facsimile: 216/241-1666

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the APPLICATION or any patent issued thereon.

1-00

Name of First Inventor:

Inventor's Signature:

Date:

Residence:

Country of Citizenship:

Mailing Address:

GERHARD E. WELSCH

01/10/2002

2514 Edgehill Road

Cleveland Heights, OH 44106 OH

US GERMANY G.W. 01/10/2002

2514 Edgehill Road Cleveland Heights, OH 44106

Name of Second Inventor:	DONALD McGERVEY
Inventor's Signature:	Donald Mary
Date:	1/10/2002
Residence:	1819 Wilton Road Cleveland Heights, OH 44118 OH
Country of Citizenship:	US
Mailing Address:	1819 Wilton Road Cleveland Heights, OH 44118
3-○○ Name of Third Inventor:	PAUL SZOZDOWSKI
Inventor's Signature:	Paul Szadowski
Date:	4/8/02
Residence:	21600 Miller Avenue 21610 PTS Euclid OH 44119 OH 4/8/02
Country of Citizenship:	US
Mailing Address:	21600 Miller Avenue 21610 PTS Euclid, OH 44119 4/8/02

N:\CWR\20269\US\CAS0565A.WPD